SALESPERSON AFFIDAVIT EVIDENCING COMPLIANCE—KENTUCKY

	On behalf of			
	*		•	er ("Order") entered March 14,
				d 292.500(3), I hereby certify that
all the	information set forth below in	this Affidavit Ev	idencii	ng Compliance, and the exhibits
hereto,	is true to the best of my know	vledge:		
1	Lrangaant	("	•	2) a Canadian brakar daalar that is
ı.	ted pursuant to the exemption	requirements and	nroce/	'), a Canadian broker-dealer that is
exemp	ted pursuant to the exemption	requirements and	proced	dure set fortil ill the Order.
2.	I am registered and in good s	tanding in		Province (or Territory), Canada,
	Home Jurisdiction"), and I wil			
	ations in good standing.			20 / 11101 1111 1111 1111 1111 1111 1111
8	8-1-1-1-1			
3.	I meet all of the pre-existing	conditions of the	Order a	and will continue to meet all the
conditi				ng the exemption contained in the
Order.				
	-	-		notice of any criminal action taken
_	•	*		result of any self-regulatory or
regulat	ory action involving fraud, th	eft, deceit, misrep	resenta	ation or similar conduct.
5.	The following exhibits are at	tached hereto:		
	C			
a.	A copy of my application on	the form (Applica	ition) r	required by, the
provin	ce (or territory) in which		(my e	mployer) has its head office, or, if
applica	able, a copy of said document	required by my ho	ome ju	risdiction;
b.	A consent (Form U-2) to serv	vice of process;		
0	Evidence (Conv. of Latter At	ffidavit from Appl	icont	or Other Degument) that I am
	red in good standing in my Ho		icaiii,	or Other Document) that I am
registe	red in good standing in my Th	onic surisdiction.		
6.	I stand ready, willing, and ab	le to supply any a	dditior	nal information or materials
				cky in connection with the activitie
	to pursue in connection with t			
	-			-
Dated:		, 2		
N.T.	(D. 1. 12)			
Name:	,			
Title:	Salesperson			

NOTARY SECTION

<u> </u>	other Canadian equivalent here. The following is a <i>opriate for YOUR jurisdiction</i> . This is just a SAMPLE.
STATE OF	
COUNTY OF	
personally appeared, and did duly acknow acknowledged the same to be his free act	
	Notary Public
	My Commission Expires: